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TRANSMITTAL LETTER			Case No. 7814/43
Serial No. 09/694,090	Filing Date October 19, 2000	Examiner	Group Art Unit 1773
Inventor(s) Philippe Guyot-Sionnest et al.			
Title of Invention Doped Semiconductor Nanocrystals			

TO THE COMMISSIONER FOR PATENTS

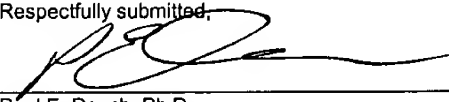
Transmitted herewith is Checks for \$1,890.00 (Five Month Extension), \$1,074.00 (Missing Parts Fee), and \$40.00 (Assignment Recordation Fee); Transmittal Letter (in duplicate); Petition and Fee for Extension of Time (in duplicate); Response to Notice to File Missing Parts (in duplicate); Copy of Notice to File Missing Parts; Fully Executed Declaration (double-sided); Assignment Recordation Cover Sheet; Assignment (double-sided).

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☒ Petition for a five month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

					Small Entity		or	Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee		Rate	Add'l Fee
Total		Minus			x \$9=			x \$18=	
Indep.		Minus			x 40=			x \$80=	
First Presentation of Multiple Dep. Claim					+\$135=			+\$270=	
					Total add'l fee	\$		Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
Paul E. Rauch, Ph.D.  
Registration No. 38,591  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on July 12, 2001.

Date: 7/12/01 Signature: 



I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents  
Washington, D.C. 20231  
on July 12, 2001

Date of Deposit

Paul E. Rauch, Ph.D.

Name of applicant, assignee or  
Registered Representative

Signature

7/12/01

Date of Signature

Case No. 7814/43

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Philippe Guyot-Sionnest et al.

Serial No.: 09/694,090

Filed: October 19, 2000

For: DOPED  
SEMICONDUCTOR  
NANOCRYSTALS

Attention: Manager,  
Application Branch

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Commissioner for Patents  
Washington, D.C. 20231

Attn.: Box Missing Parts

Dear Sir:


In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated February 15, 2001, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully executed Declaration for Patent Application for the above-referenced patent application.

- ☒ Applicant is:
- ☐ a small entity, verified statement is:
- ☐ attached
- ☐ already filed
- ☒ other than small entity
- ☒ Checks totaling \$1074.00 for:
- ☒ Filing Fee of \$710.00.
- ☒ Surcharge of \$130.00.
- ☒ Additional Claim Fees of \$234.00.
- ☒ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☒ Other: Assignment Recordation Cover Sheet and Assignment.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

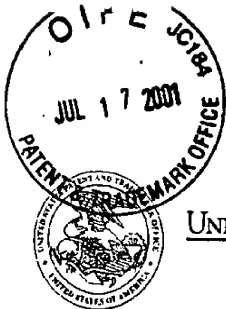
Respectfully submitted,

Dated: 7/12/01

  
Paul E. Rauch, Ph.D.  
Registration No. 38,591  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
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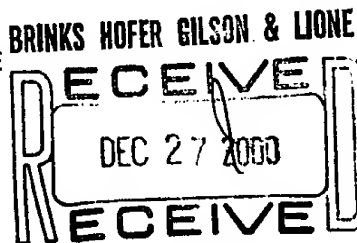


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/694,090	10/19/2000	Philippe Guyot-Sionnest	7814-43

BRINKS HOFER GILSON & LIONE  
P. O. Box 10395  
Chicago, IL 60610



## FORMALITIES LETTER



\*OC000000005622890\*

Date Mailed: 12/15/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$234.
  - \$234 for 13 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1074.

*A copy of this notice **MUST** be returned with the reply.*

*Seble Belcele*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY